

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks	
				Timeliness	Content	Timeliness	Content			
1. Implementation obligations										
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (17.03.2017)	C	C	C	C	Received 15.03.17	Agree	
1.2.	Rules Of P.	Compliance Questionnaire.	01.03.2017	C	C	C	C	Received 23.02.17	Agree	
1.3.	SC	National Scientific Report.	16.11.2016	C	C	C	C	Received 12.11.16	Agree	
1.4.	Commission	Feedback letter.	17.03.2017	C	C	C	C	Received 23.02.17	Agree	
2. Management Standards										
2.1.	Res. 15/04	Documents listed in this resolution on board ²	17.03.2017	C	C	C	C	Source - IOTC-2015-CoC12-IR26: Alinea 4.(i) of High Seas Fishing Operations Regulations No. 1 of 2014	Agree	
		Marking of vessels ²		C	C	C	C	Vessel marking already in place in the national legislation (1980), and according to FAO standard from 2006.	Agree	
		Marking of gears ²		C	C	C	C	Source - IOTC-2015-CoC12-IR26 - Fishing gear marking regulation No.1 of 2015 (Received 26.02.15)	Agree	
		Marking of FADs ²		N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree	
		Logbook on board ²		C	C	C	C	Source - IOTC-2013-CoC10-IR25: Logbook program started in 2012	Agree	
		Official authorisation to fish outside National Jurisdictions.		Since 15.02.2014	C	C	C	C	Update received on 23.02.17.	Agree
		IMO number for eligible vessels.		Since 01.01.2016	C	C	C	C	Source - IOTC-2016-CoC13-IR27: Whole fleet of Sri Lanka is < 24m in LOA and not eligible to have IMO number.	Agree
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Update received on 02.03.16	Agree	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	17.03.2017	C	C	C	C	Source - IOTC-2017-CoC14-IR26Alinea 4.(ix) of High Seas Fishing Operations Regulations No. 1 of 2014 amended 2015 (Received 15.03.16).	Agree	
2.4.	Res. 15/08	FADs management plan.	31.12.2013	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree	
		Report of progress on implementation of FADs management plan.	17.03.2017	N/A	N/A	N/A	N/A		Agree	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

²Information is to be provided in the Report of Implementation.

³24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016			N/A	N/A	Has indicated not applicable, source IOTC-2017-CoC14-IR26	Agree
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016			N/A	N/A	Has indicated not applicable, source IOTC-2017-CoC14-IR26	Agree
2.7.	Res. 16/01	Report on methods for achieving the YFT catch reductions.	17.03.2017			C	C	Information received 15.03.17	Agree
2.8.	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	17.03.2017			C	C	Information received 15.03.17, source source IOTC-2017-CoC14-IR26. Actions are: Log book, Port based Sampling, surveys,national observer scheme, VMS	Agree
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 09.02.17	Agree
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010(10 years)	C	C	C	C	Submission: 18.03.16 (4 th update)	Agree
3.3.	Res. 15/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	All vessels are targeting tropical tunas.	Agree
	List of vessels ³ for SWO and ALB during 2007	N/A		N/A	N/A	N/A	All vessels are targeting tropical tunas.	Agree	
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	N/A	N/A	N/A	N/A	No vessels of 24 metres in length overall or more in the Record of Authorised Vessels.	Agree
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C	Has 1546 vessels on the Record of Authorised Vessels.	Agree
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Source - IOTC-2017-CoC14-IR26: Foreign vessels are not licensed.	Agree
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		Agree
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		Agree
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A	Agree	
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	Source IOTC-2016-CoC13-IR27: 1576 vessels equipped with VMS in 2015. Legal reference: Vessel Monitoring System (VMS) for Fishing Boats Operating in High Seas Regulations 2015.	Agree
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	C	Received 29.06.16.	Agree
4.3.		VMS implementation plan	30.04.2016			N/A	N/A	VMS adopted in 2015 and coverage > 50%	Agree
5. Mandatory statistical requirement – Flag State CPCs									
5.1.	Res. 15/02	Nominal Catch							

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	& Res 15/05	• Coastal fisheries	30.06	C	C	C	P/C	Data received 30.06.2016. Has provided NC but not for artisanal fishery. Inconsistencies noted between NC data reported to the IOTC and published information: (http://www.fisheries.gov.lk/elfinder-2.0-rc1/files/stat/Fisheries%20Statistics/Fisheries%20Statistics%202016%20web%20%20document%20edited1(1)%5BConverted%5D.xps).	Agree
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30.06.16	
		• LL - Provisional	30.06	C	P/C	C	C		Agree
		• LL - Final	30.12	C	C	C	C	Data received 30.06.16.	Agree
Catch & Effort									
5.2.		• Coastal fisheries	30.06	C	C	C	P/C	Data received 30.06.16. Has provided CE but not for artisanal fishery	Agree
		• Surface fisheries: PS, BB, GN	30.06	C	P/C	C	C	Data received 30.06.16	Agree
		• LL - Provisional	30.06	C	C	C	C		Agree
		• LL - Final	30.12	C	C	C	C	Data received 30.06.16.	
Size Frequency									
5.3.		• Coastal fisheries	30.06	C	C	C	P/C	Data received 30.06.2016. Has provided SF but fleet segments aggregated: coastal and surface. Also size data reported at 20cm intervals (i.e., recommended size intervals 1cm or 2cm intervals according to IOTC data reporting guidance).	Agree
		• Surface fisheries: PS, BB, GN	30.06	C	P/C	C	P/C		Agree
		• LL - Provisional	30.06	C	P/C	C	P/C		Agree
		• LL - Final	30.12	C	P/C	C	P/C		Agree
Fish Aggregating Devices (FAD)									
5.4.		Supply vessels	30.06	N/A	N/A	N/A	N/A	No supply vessel.	Agree
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A		Agree
		FADs set by type	30.06	N/A	N/A	N/A	N/A		Agree
6. Implementation of mitigation measures and bycatch of non-IOTC species									
6.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch	30.06	C	C	C	P/C	Data received 30.06.2016. Inconsistencies noted between NC data reported to the IOTC and published information: (http://www.fisheries.gov.lk/elfinder-2.0-rc1/files/stat/Fisheries%20Statistics/Fisheries%20Statistics%202016%20web%20%20document%20edited1(1)%5BConverted%5D.xps).	Agree
		Submission of data regarding Sharks – Catch & effort	30.06	C	C	C	P/C		Agree
		Submission of data regarding Sharks – Size frequency	30.06	C	P/C	C	P/C		Agree
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Source - IOTC-2016-CoC13-IR27: Shark Fisheries Management (High Seas) regulation No. 1938/2 published on 26th October 2015.	Agree
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since	C	C	C	C		Agree

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			14.08.2013						
6.4.	Res. 12/04	Sea turtles report ²	17.03.2017	C	C	C	C	Source - IOTC-2016-SC19-NR26: protected under Fauna and Flora Protection Act (FFPA) and Fisheries and Aquatic Resources Act no.2 of 1996; has reported 78 interaction with 2 species Information on implementation of FAO guideline /this Resolution received 23.02.17	Agree
6.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Source - IOTC-2016-CoC13-IR27 Alinea 4.(ix) of High Seas Fishing Operations Regulations No. 1 of 2014 amended 2015 (Received 15.03.16).	Agree
6.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree
6.7.	Res. 12/06	Seabirds report ²	17.03.2017	N/A	N/A	N/A	N/A	Sea bird catches are not reported due to the nature of the fishery and the gear used, has reported no interaction observed (IOTC-2015-CoC12-IR26).	Agree
6.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		Agree
6.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	L	P/C	C	C	Data received 30-06-2016. 23 Interactions.	Agree
		Instances of Cetaceans encircled ²	For PS 17.03.2017	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree
6.10	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	L	P/C	C	C	Data received 30-06-2016. 4 Interactions.	Agree
		Instances Whale Sharks encircled ²	For PS 17.03.2017	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2017)	C	C	C	C	No vessel listed on IOTC IUU list in 2016	Agree
7.2.	Res. 07/01	Compliance by nationals	17.03.2017	C	C	C	C	No nationals on board vessel listed on IOTC IUU list in 2016.	Agree
8. Transshipments									
8.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in ROP.	Agree
8.2.		Transshipments in port report ²	17.03.2017	C	C	C	C	Has indicated does not allow its flag vessels to do any of transshipments at sea or inport, IOTC-2017-CoC14-IR26.	Agree
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in ROP.	Agree
8.4.		Report on results of investigations on possible infractions	15.02.2017	N/A	N/A	N/A	N/A		Agree
8.5.		ROP fee	17.08.2016	N/A	N/A	N/A	N/A		Agree

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9. Observers									
9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	17.03.2017	C	P/C	C	P/C	Source IOTC-2016-SC19-07[E] Rev_1 ROS update: had 1 LL, 1564 GN active in 2015; has begun an observer initiative and submitted observer data from pilot trips in 2015.	Agree
9.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	L	P/C	L	P/C		Agree
9.3.		• 5% Phasing in, at sea (< 24m)	2013	L	P/C	L	P/C	Has 2 pilot trip on the LL feet in 2015.	Agree
9.4.		• 5 % Phasing in Artisanal landings ²	17.03.2017	C	C	C	C	Source - IOTC-2016-SC19-NR26: has reported 14/18% coverage of total landing.	Agree
9.5.		Observer reports	150 days after trip	P/C	P/C	P/C	P/C	2 reports submitted for GN, none for LL.	Agree
10. Statistical document programme									
10.1	Res. 01/06	1 st Semester report (2016)	01.10	C	C	C	C	Received 30.09.16	Agree
10.2		2 nd Semester report (2015)	01.04	N/A	N/A	C	C	Received 31.03.16.	Agree
10.3		Annual report ² (2015)	17.03.2017	N/C	N/C	L	C	Received 19.04.17 JPN imported 143 Mt, EU 3 Mt of BET from LKA in 2015.	Agree
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 23.02.17.	Agree
11. Port inspection									
11.1	Res. 05/03	Port inspection programme	01.07	C	C	L	C	No fish unloading allowed in 2015, source IOTC-2016-SC19-NR26.	Agree
11.2	Res. 16/11	List of designated ports	Since 31.12.10	C	C	C	C	Has designated 5 ports.	Agree
11.3		Designated competent Authority		C	C	C	C		Agree
11.4		Prior notification periods		C	C	C	C		Agree
11.5		Inspection report		3 days after inspection	L	C	P/C		C
11.6	At least 5% inspection of LAN or TRX	Since 01.03.2011	L	P/C	N/C	N/C	Has indicated 0 LAN/TRX monitored in 2016, IOTC-2017-CoC14-CQ26, no monitoring forms submitted with inspections reports.	There is no such data reporting template given in the data reporting formats in the IOTC website in the reporting templates under resolution 10/11 or 16/11. Further no such requirement given in the resolution except for point 13.2 asking to	

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									send the inspection report to IOTC..
11.7		Denial of entry in port		C	C	C	C	Source IOTC-2017-CoC14-CQ26: no vessel denied entry into port.	Agree
12. Market									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports ²	17.03.2017	C	P/C	C	C	Received 15.03.17.	Agree

Feedback to Sri Lanka on the level of implementation of IOTC Conservation and Management Measures identified by the CoC13 in 2016.

Feedback: With regards to the level of compliance by Sri Lanka to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Sri Lanka by the Chair of the Commission in a letter dated 27th May, 2016.

• Has not reported Catch and Effort for the surface fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Size frequency for the surface fisheries (Gillnet) at IOTC Standard, as required by Resolution 15/02.
• Has not reported Size frequency for the longline fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Size frequency on sharks at IOTC Standard, as required by Resolution 05/05.
• Has not fully implemented the observer scheme, as required by Resolution 11/04.
• Has not fully implemented the observer scheme for vessel > 24m, as required by Resolution 11/04.
• Has not fully implemented the observer scheme for vessel < 24m, as required by Resolution 11/04.
• Has not provided observer report for LL and GN vessels, as required by Resolution 11/04.
• Has not provided the mandatory annual report on BET, as required by Resolution 01/06.
• Has not fully implemented the requirement on Report on import, landing and transshipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.
• Has not reported Nominal catch for the longline fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Catch and Effort for the longline fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported data on interactions with Cetaceans at IOTC Standard, as required by Resolution 13/04.
• Has not reported data on interactions with Whale Sharks at IOTC Standard, as required by Resolution 13/05.
• Has not implemented the requirement of at least 5% inspection of Lan or TRX, as required by Resolution 10/11.

Response: The response to the letter of the Chair of the Commission was provided by Sri Lanka on 23 February 2017.

Current issues on the level of implementation by Sri Lanka of IOTC Conservation and Management Measures identified for discussion in the CoC14 in 2017.

Having reviewed the 2017 Compliance Report for Sri Lanka, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2017)	Previous status (2016)
Repeated compliance issues		
• Has not implemented the requirement of at least 5% inspection of LAN or TRX, as required by Resolution 16/11.	N/C	P/C
• Has not reported Size Frequency for the surface fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Size Frequency (Provisional) for the longline fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C

• Has not reported Size Frequency (Final) for the longline fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Size frequency on sharks at IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not fully implemented the observer scheme, as required by Resolution 11/04.	P/C	P/C
• Has not fully implemented the observer scheme for vessel > 24m, as required by Resolution 11/04.	P/C	P/C
• Has not fully implemented the observer scheme for vessel < 24m, as required by Resolution 11/04.	P/C	P/C
• Has not provided observer reports for LL vessels, as required by Resolution 11/04.	P/C	P/C
Non-repeated compliance issues		
• Has not reported Nominal catch for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	C
• Has not reported Catch and Effort for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	C
• Has not reported Size Frequency for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	C
• Has not reported Nominal catch on sharks at IOTC Standard, as required by Resolution 05/05.	P/C	C
• Has not reported Catch and Effort on sharks at IOTC Standard, as required by Resolution 05/05.	P/C	C