

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (16.03.2016)	C	C	C	C	Received 16.03.16.	
1.2.	Rules Of P.	Compliance Questionnaire	29.02.2016	C	C	C	C	Received 29.01.16.	
1.3.	SC	National Scientific Report	09.11.2015	C	C	C	C	Received 27.10.15.	
1.4.	Commission	Feedback letter	16.03.2016	C	C	C	C	Received 01.03.16.	
2. Management Standards									
2.1.	Res. 15/04	Documents listed in this resolution on board ²	16.03.2016	C	C	C	C	Legal Reference: Australian Fisheries Management Act 1991/Fisheries Management Regulations.	
		Marking of vessels ²		C	C	C	C		
		Marking of gears ²		C	C	C	C		
		Marking of FADs ²		C	C	C	C		
		Logbook on board ²	C	C	C	C			
		Official authorisation to fish outside National Jurisdictions	15.02.2016	C	C	C	C	Received 12.02.14.	
		IMO number for eligible vessels	01.01.2016			L	C	Has 73 vessels on the RECORD OF AUTHORISED VESSELS; 12 with IMO numbers and 61 non-eligible vessels.	
2.2.	Res. 15/01	Official fishing logbooks	15.02.2016	C	C	C	C	Received 31.01.14.	
2.3.	Res. 12/12	Ban on large-scale driftnets ²	16.03.2016	C	C	C	C	Banned since 1991, Legal Reference: Australian Fisheries Management Act 1991.	
2.4.	Res. 15/08	FADs management plan	31.12.2013	C	C	C	C	Received 01.05.14.	
		Report of progress on implementation of FADs management plan	16.03.2016			N/A	N/A	PS not active.	
2.5.	Res. 15/07	Prohibition artificial lights for FADs	For PS 10.09.2015			N/A	N/A		
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	P/C	C	P/C	Received 15.02.15. Some mandatory information not to IOTC standard: provides GRT instead of GT.	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	N/A	N/A	N/A	N/A	Has confirmed that it will not submit a FDP.		
Reference Capacity										
3.3.	Res. 12/11	List of vessels ³ for Tropical Tuna during 2006	31.12.2009	C	C	C	C	Received 11.05.07.		
		List of vessels ³ for SWO and ALB during 2007		C	C	C	C	Received 30.06.08.		
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	P/C	C	P/C	Mandatory information not to IOTC standard: provides GRT instead of GT.		
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	P/C	C	P/C	Mandatory information not to IOTC standard: provides GRT instead of GT.		
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Does not license foreign fishing vessel. Source - CQ 2016 and IOTC-2016-CoC13-IR01.		
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A			
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A			
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A			
4. Vessel Monitoring System										
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted since 2007. Had 8 active vessels in 2014, has reported 68 vessels fitted with VMS in 2014		
4.2.		VMS report on the progress and implementation	30.06	C	C	C	C	Received 30.06.15.		
5. Mandatory statistical requirement – Flag State CPCs										
Nominal Catch										
5.1.		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal Fisheries		
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Received 30.06.15.		
		• LL	Provisional	30.06	C	C	C	C	Received 30.06.15.	
			Final	30.12	C	C	C	C	Received 30.06.15.	
Catch & Effort										
5.2.	Res. 15/02 & Res 15/05	• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal Fisheries.		
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Received 30.06.15.		
		• LL	Provisional	30.06	C	C	C	C	Received 30.06.15.	
			Final	30.12	C	C	C	C	Received 30.06.15.	
Size Frequency										
5.3.		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal Fisheries		
		• Surface fisheries: PS, BB, GN	30.06	P/C	P/C	C	C	Data collected in accordance with CCSBT obligations.		

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		• LL	Provisional	30.06	P/C	P/C	C	P/C	Has provided SF but not by grid	Due to very low levels of effort in Australia's relevant fisheries, sampling is undertaken upon landing and thus cannot be reported by grid.
			Final	30.12	P/C	P/C	C	P/C		
5.4.		Fish Aggregating Devices (FAD)								
		Supply vessels		30.06	N/A	N/A	N/A	N/A	No supply vessel.	
		Days at sea by supply vessels		30.06	N/A	N/A	N/A	N/A		
		FADs set by type		30.06	N/A	N/A	N/A	N/A		
6. Mandatory statistical requirement – Coastal State CPCs										
6.1.	Res. 15/01	Catch & Effort								
		• Surface fisheries: PS, BB, GN		30.06	N/A	N/A				
		• LL	Provisional	30.06	N/A	N/A				
			Final	30.12	N/A	N/A				
7. Implementation of mitigation measures and bycatch of non-IOTC species										
7.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch		30.06	C	C	C	C	Received 30.06.15.	
		Submission of data regarding Sharks – Catch & effort		30.06	L	C	C	C	Received 30.06.15.	
		Submission of data regarding Sharks – Size frequency		30.06	L	P/C	C	P/C	Mandatory data not provided.	Due to very low levels of effort in Australia's relevant fisheries, sampling is undertaken upon landing and is primarily focused on achieving effective sampling coverage for target species. As sharks are not a target species in these fisheries and given Australia has a very low catch of sharks in these fisheries (many species cannot be landed and all live sharks must be released), Australia is unable to meet the

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									relevant sampling coverage and is therefore unable to provide size frequency for sharks.
7.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Banned since 2012; Legal Reference: Australia's <i>Fisheries Management Act 1991</i>	A ban on the take of thresher sharks has been implemented via fishing concession conditions in the Western Tuna and Billfish Fishery since 2012. Under Section 95 of Australia's <i>Fisheries Management Act 1991</i> , it is an offence to contravene a condition of a fishing concession.
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	Banned since 2014; Legal Reference: Australia's <i>Fisheries Management Act 1991</i> .	A ban on the take of oceanic whitetip sharks has been implemented via fishing concession conditions in the Western Tuna and Billfish Fishery since 2014. Under Section 95 of Australia's <i>Fisheries Management Act 1991</i> , it is an offence to contravene a condition of a fishing concession.
7.4.		Sea turtles report ²	16.03.2016	C	C	C	C	Data received 30-06-2015; Total of 4 interactions reported in 2014 Information on implementation of FAO guideline provided.	
7.5.	Res. 12/04	Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Has indicated obligation is in place since 2010; Legal Reference: Australia's <i>Fisheries Management Act 1991</i> .	Australia has required all longline vessels to carry line cutters and de-hookers on board through a condition on the fishing concessions in the Western Tuna

N°	Source	Information required	Deadline/ Remark	Previous Status ¹		Current Status		Observations	CPC Remarks
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									and Billfish Fishery since 2010. Under Section 95 of Australia's <i>Fisheries Management Act 1991</i> , it is an offence to contravene a condition of a fishing concession
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	C	C	N/A	N/A	No PS operating in the Indian Ocean.	
7.7.	Res. 12/06	Seabirds report ²	16.03.2016	C	C	C	C	Data received 30-06-2015. Total of 0 interactions reported in 2014.	
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C		
7.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)			C	C	Data received 30-06-2015. Total of 0 interactions reported in 2014.	
		Instances of Cetaceans encircled ²	For PS 16.03.2016	C	C	N/A	N/A	No purse seiner on the Record of Authorised Vessels or active the Indian Ocean in 2015	
7.10.	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)			C	C	Data received 30-06-2015. Total of 0 interactions reported in 2014.	
		Instances Whale Sharks encircled ²	For PS 16.03.2016	C	C	N/A	N/A	No purse seiner on the Record of Authorised Vessels or active the Indian Ocean in 2015	
8. Illegal, Unreported and Unregulated (IUU) Vessels									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2016)	C	C	C	C	No vessel listed on IOTC IUU list in 2015.	
8.2.	Res. 07/01	Compliance by nationals	16.03.2016	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2015.	
9. Transshipments									
9.1.	Res. 14/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
9.2.		Transshipments in port report ²	16.03.2016	C	C	N/A	N/A	No transshipments by the flag state's vessels occurred in foreign ports	
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
9.4.		Report on results of investigations on possible infractions	26.02.2016	N/A	N/A	N/A	N/A		
9.5.		ROP fee	17.08.2015	N/A	N/A	N/A	N/A		
10. Observers									

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10.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	16.03.2016	C	P/C	C	C	Had 8 vessels active in 2014; coverage for LL 9.1%.; 5 trips.	
10.2.		• 5% Mandatory, at sea (> 24m) ²	Since 01.07.2010	N/C	N/C	C	C	Coverage for LL 9.1% .	
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/A	N/A	N/A	N/A	No vessel < 24 m active in 2014.	
10.4.		• 5% Phasing in Artisanal landings ²	16.03.2016	C	C	C	C	Source - IOTC-2015-SC18-NR01: done by observers on board fishing vessels or in port -.	
10.5.		Observer reports	150 days after trip	N/A	N/A	C	C	2 Observer reports provided.	
11. Statistical document programme									
11.1.	Res. 01/06	1 st Semester report (2015)	01.10	C	C	C	C	Report received 26.08.14.	
11.2.		2 nd Semester report (2014)	01.04	C	C	C	C	Report received 29.01.15.	
11.3.		Annual report ²	16.03.2016	L	C	C	C	Report received 13.04.15.	
11.4.		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 10.03.15	
12. Port inspection									
12.1.	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	No landing by FFV its ports 2014.	
12.2.	Res. 10/11	List of designated ports	Since 31.12.10	C	C	C	C	Foreign vessels may access any Australian port.	
12.3.		Designated competent Authority		C	C	C	C		
12.4.		Prior notification periods		C	C	C	C		
12.5.		Inspection report	3 days after inspection	N/A	N/A	N/A	N/A	No landing by FFV its ports 2014.	
12.6.	At least 5% inspection of LAN or TRX	Since 01/03/2011	N/A	N/A	N/A	N/A			
12.7.	Denial of entry in port		N/A	N/A	N/A	N/A			
13. Market									
13.1.	Res. 10/10	Report on import, landing and transhipment of tuna and tuna-like fish products in ports ²	16.03.2016	C	C	C	C	Report received 15.02.16.	

Feedback to COUNTRY on the level of implementation of IOTC Conservation and Management Measures identified by the CoC12 in 2015.

Feedback: With regards to the level of compliance by Australia to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Australia by the Chair of the Commission in a letter dated 1st May, 2015.

• Has not provided mandatory information to IOTC Standard for the list of vessels active in 2014; provides GRT instead of GT, as required by Resolution 10/08.
• Has not provided mandatory information to IOTC Standard on the List of Authorized vessels; provides GRT instead of GT, as required by Resolution 14/04.
• Has not reported size frequency to IOTC Standard for the longline fisheries (less than 1 fish per metric ton of catch per species), as required by Resolution 10/02.
• Has not reported size frequency to IOTC Standard for the surface fisheries (less than 1 fish per metric ton of catch per species), as required by Resolution 10/02.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not implemented the observer scheme in 2013, no deployment for the calendar year 2013, as required by Resolution 11/04.
• Has not implemented the observer scheme in 2013, no deployment and no observer coverage at sea for vessel > 24m for the calendar year 2013, as required by Resolution 11/04.

Response: The response to the letter of the Chair of the Commission was provided by Australia on 01 March 2016.

Current issues on the level of implementation by COUNTRY of IOTC Conservation and Management Measures identified for discussion in the CoC13 in 2016.

Having reviewed the 2016 Compliance Report for Australia, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Previous Status (2015)	Current status (2014)
Repeated compliance issues		
• Some mandatory information not being reported to IOTC standard, for the Record of Authorised Vessels and Active Vessels List : provides GRT instead of GT.	P/C	P/C
• Has not reported size frequency to IOTC Standard for the longline fisheries (less than 1 fish per metric ton of catch per species), as required by Resolution 15/02.	P/C	P/C
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	P/C	P/C