

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
<b>1. Implementation obligations</b>									
1.1.	Art. X Agreement	Report of Implementation	Session - 60 ds (16.03.2016)	C	C	C	C	Received 15.03.16	Agreed.
1.2.	Rules Of P.	Compliance Questionnaire	29.02.2016	C	C	C	C	Received 26.02.16	Agreed
1.3.	SC	National Scientific Report	09.11.2015	C	C	C	C	Received 09.11.15	Agreed.
1.4.	Commission	Feedback letter	16.03.2016	L	C	C	C	Received 15.02.16	Agreed
<b>2. Management Standards</b>									
2.1.	Res. 15/04	Documents listed in this resolution on board <sup>2</sup>	16.03.2016	C	C	C	C	Source - IOTC-2015-CoC12-IR26: Alinea 4.(i) of High Seas Fishing Operations Regulations No. 1 of 2014	Agreed.
		Marking of vessels <sup>2</sup>		C	C	C	C	Vessel marking already in place in the national legislation (1980), and according to FAO standard from 2006.	Agreed
		Marking of gears <sup>2</sup>		C	C	C	C	Source - IOTC-2015-CoC12-IR26 - Fishing gear marking regulation No.1 of 2015 (Received 26.02.15)	Agreed.
		Marking of FADs <sup>2</sup>		C	C	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agreed
		Logbook on board <sup>2</sup>		C	C	C	C	Source - IOTC-2013-CoC10-IR25: Logbook program started in 2012	Agreed.
		Official authorisation to fish outside National Jurisdictions	15.02.2016	C	C	C	C	Update received on 11.02.16.	Agreed
		IMO number for eligible vessels	01.01.2016			C	C	Has 1396 vessels on the Record of Authorised Vessels. Source - IOTC-2016-CoC13-IR27: Whole fleet of Sri Lanka is < 24m in LOA and not eligible to have IMO number.	Agreed.
2.2.	Res. 15/01	Official fishing logbooks	15.02.2016	C	C	C	C	Update received on 02.03.16	Agreed
2.3.	Res. 12/12	Ban on large-scale driftnets <sup>2</sup>	16.03.2016	C	C	C	C	Source - IOTC-2016-CoC13-IR27 Alinea 4.(ix) of High Seas Fishing Operations Regulations No. 1 of 2014 amended 2015 (Received 15.03.16).	Agreed.
2.4.	Res. 15/08	FADs management plan	31.12.2013	L	P/C	N/A	N/A	Does not have PS vessel on the Record	Nil

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup>Information is to be provided in the Report of Implementation.

<sup>3</sup>24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
		Report of progress on implementation of FADs management plan	16.03.2016			N/A	N/A	of Authorised Vessels.	Agreed.
2.5.	Res. 15/07	Prohibition artificial lights for FADs	For PS 10.09.2015			N/A	N/A		Agreed
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 11.02.16.	Agreed.
3.2.	Res. 12/11	Fleet Development Plan (FDP)	By 31.12.2010 (10 years)	C	C	C	C	Submission: 18.03.16 (4 <sup>th</sup> update)	Agreed.
Reference Capacity									
3.3.	Res. 12/11	List of vessels <sup>3</sup> for Tropical Tuna during 2006	31.12.2009	C	C	C	C	All vessels are targeting tropical tunas.	Agreed.
		List of vessels <sup>3</sup> for SWO and ALB during 2007		N/A	N/A	N/A	N/A	All vessels are targeting tropical tunas.	Agreed.
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	N/A	N/A	No vessels of 24 metres in length overall or more in the Record of Authorised Vessels.	Agreed.
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C	Has 1396 vessels on the Record of Authorised Vessels.	Agreed.
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Source - IOTC-2016-CoC13-IR27: Foreign vessels are not licensed.	Agreed.
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		Agreed.
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		Agreed.
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		Agreed
<b>4. Vessel Monitoring System</b>									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	L	C	C	C	Source - IOTC-2016-CoC13-IR27: 1536 vessels equipped with VMS in 2015. Legal reference: Vessel Monitoring System (VMS) for Fishing Boats Operating in High Seas Regulations 2015.	
4.2.		VMS report on the progress and implementation	30.06	L	C	C	C	Received 29.06.15.	Agreed.
<b>5. Mandatory statistical requirement – Flag State CPCs</b>									
Nominal Catch									
5.1.	Res. 15/02 & Res 15/05	• Coastal fisheries	30.06	C	P/C	C	C	Has provided NC but fleet segments aggregated: coastal & surface.	Agreed.

N°	Source	Information required		Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks		
					Timeliness	Content	Timeliness	Content				
5.2.									Inconsistencies between published and reported catch.			
		• Surface fisheries: PS, BB, GN		30.06	C	P/C	C	C	Has provided NC but fleet segments aggregated: coastal & surface. Some gear missing (PS)			
		• LL	Provisional	30.06	C	C	C	P/C	Has provided NC by species and by type of fisheries	Agreed		
			Final	30.12	C	C	C	C	Has provided NC by species and by type of fisheries	Agreed		
		Catch & Effort										
		• Coastal fisheries		30.06	C	P/C	C	C	Has provided CE but fleet segments aggregated coastal & surface	Agreed		
		• Surface fisheries: PS, BB, GN		30.06	C	P/C	C	P/C	Has provided CE but fleet segments aggregated coastal & surface. Some gear missing (PS)			
		• LL	Provisional	30.06	C	P/C	C	C	Has provided CE by species, by month, by grid, by type of fisheries (	Agreed		
			Final	30.12	C	P/C	C	C		Agreed		
		Size Frequency										
• Coastal fisheries		30.06	C	P/C	C	C	Has provided SF but fleet segments aggregated coastal & surface Some gear missing (PS)	Agreed				
• Surface fisheries: PS, BB, GN		30.06	C	P/C	C	P/C						
• LL	Provisional	30.06	C	P/C	C	P/C		Agreed				
	Final	30.12	C	P/C	C	P/C		Agreed				
Fish Aggregating Devices (FAD)												
5.4.	Supply vessels		30.06	N/A	N/A	N/A	N/A	No supply vessel.	Agreed.			
	Days at sea by supply vessels		30.06	N/A	N/A	N/A	N/A		Agreed			
	FADs set by type		30.06	N/A	N/A	N/A	N/A		Agreed			
<b>6. Mandatory statistical requirement – Coastal State CPCs</b>												
6.1.	Res. 15/01	Catch & Effort										
		• Surface fisheries: PS, BB, GN		30.06	N/A	N/A				Agreed.		
		• LL	Provisional	30.06	N/A	N/A			Agreed			
Final	30.12		N/A	N/A			Agreed					
<b>7. Implementation of mitigation measures and bycatch of non-IOTC species</b>												
7.1.	Res. 05/05	Submission of data regarding Sharks – Nominal catch		30.06	C	P/C	C	C	Has provided NC/CE but fleet segments aggregated coastal & surface	Agreed		
		Submission of data regarding Sharks – Catch & effort		30.06	C	P/C	C	C		Agreed		
		Submission of data regarding Sharks – Size frequency		30.06	N/C	N/C	C	P/C	Has provided SF but fleet segments aggregated coastal & surface	Agreed		
7.2.	Res. 12/09	Prohibition on thresher sharks of		Since	C	C	C	C	Source - IOTC-2016-CoC13-IR27:	Agreed		

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
		all the species of the family <i>Alopiidae</i>	07.07.2010					Shark Fisheries Management (High Seas) regulation No. 1938/2 published on 26th October 2015.	
7.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	N/C	N/C	C	C		Agreed
7.4.	Res. 12/04	Sea turtles report <sup>2</sup>	16.03.2016	C	P/C	C	C	Source - IOTC-2015-SC18-NR26: protected under Fauna and Flora Protection Act (FFPA) and Fisheries and Aquatic Resources Act no.2 of 1996; has reported 5 interaction with 2 species Information on implementation of FAO guideline /this Resolution received 18.01.16	Agreed
7.5.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	P/C	C	C	Source - IOTC-2016-CoC13-IR27 Alinea 4.(ix) of High Seas Fishing Operations Regulations No. 1 of 2014 amended 2015 (Received 15.03.16).	Agreed
7.6.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/C	N/C	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agreed
7.7.	Res. 12/06	Seabirds report <sup>2</sup>	16.03.2016	N/A	N/A	N/A	N/A	Sea bird catches are not reported due to the nature of the fishery and the gear used, has reported no interaction observed (IOTC-2015-CoC12-IR26).	Agreed
7.8.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		Agreed
7.9.	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)			L	P/C	Source IOTC-2015-SC18-NR26: no cetacean catches recorded for any of the gear used.  No information provided by the deadline of the data set.	Catch of cetaceans by any fishing gear is legally prohibited. Any cetacean catches will report on June 2016 with the catch and effort data submission.
		Instances of Cetaceans encircled <sup>2</sup>	For PS 16.03.2016	C	C	N/A	N/A	Source - IOTC-2016-CoC13-IR27: Alinea 4.(vi) of High Seas Fishing Operations Regulations No. 1 of 2014 amended 2015 (Received 15.03.16). No PS operating in the Indian Ocean in 2015	Agreed

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
7.10.	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)			L	P/C	Source IOTC-2015-SC18-NR26: no whale sharks catches recorded for any of the gear used.  No information provided by the deadline of data set submission.	Log book data for 2015 is being analysed. Any whale shark catches will report on June 2016 with the catch and effort data submission.
		Instances Whale Sharks encircled <sup>2</sup>	For PS 16.03.2016	C	C	N/A	N/A	Source - IOTC-2016-CoC13-IR27: Alinea 4.(vi) of High Seas Fishing Operations Regulations No. 1 of 2014 amended 2015 (Received 15.03.16). No PS operating in the Indian Ocean in 2015	Agreed
<b>8. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
8.1.	Res. 11/03	IUU listing	Session - 70 ds (07.03.2016)	N/C	N/C	C	C	No vessel listed on IOTC IUU list in 2015	Agreed
8.2.	Res. 07/01	Compliance by nationals	16.03.2016	N/C	N/C	C	C	No nationals on board vessels listed on IOTC IUU list in 2015	Agreed
<b>9. Transhipments</b>									
9.1.	Res. 14/06	At sea transhipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in ROP.	
9.2.		Transhipments in port report <sup>2</sup>	16.03.2016	C	C	C	C	Source - IOTC-2015-CoC12-IR27: LSTVs do not tranship in foreign ports.	Agreed
9.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in ROP.	Agreed
9.4.		Report on results of investigations on possible infractions	26.02.2016	N/A	N/A	N/A	N/A		Agreed
9.5.		ROP fee	17.08.2015	N/A	N/A	N/A	N/A		Agreed
<b>10. Observers</b>									
10.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	16.03.2016	N/C	N/C	C	P/C	Source IOTC-2015-SC18-07[E] Rev_1 ROS update: had 13 LL, 7 PS, 1589 GN active in 2014. Source - IOTC-2016-CoC13-IR27:, 0% for LL and N/A for GN	For 2014 two RO reports submitted for purse seines at pilot scale. No LL vessels >24m in 2014. Sri Lanka is not in a position to deploy on board observer in the vessels <24m due to lack of basic needs on board. This has been repeatedly reported by
10.2.		• 5% Mandatory, at sea (> 24m) <sup>2</sup>	Since 01.07.2010	N/C	N/C	L	P/C	Has 1 pilot trip on PS fleet in 2014; however no information on the operation of the purse seine fleet reported	
10.3.		• 5% Phasing in, at sea (< 24m)	2013	N/C	N/C	L	P/C	Has 1 pilot trip on the LL feet in 2014	
10.4.		• 5 % Phasing in Artisanal landings <sup>2</sup>	16.03.2016	L	P/C	C	C	Source - IOTC-2015-SC18-NR26: has reported 15-18% coverage of total	

N°	Source	Information required	Deadline/ Remark	Previous Status <sup>1</sup>		Current Status		Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
10.5.		Observer reports	150 days after trip	N/C	N/C	P/C	P/C	landing. 2 reports submitted for PS, none for LL and GN.	Sri Lanka and an alternate scheme is to be lobbied at the COC 2016 on this regard.
<b>11. Statistical document programme</b>									
11.1.		1 <sup>st</sup> Semester report (2015)	01.10	N/A	N/A	C	C	Received 25.09.15	Nil
11.2.		2 <sup>nd</sup> Semester report (2014)	01.04	N/A	N/A	N/A	N/A	Does not import Bigeye tuna.	Nil
11.3.	Res. 01/06	Annual report <sup>2</sup> (2014)	16.03.2016	N/C	N/C	N/C	N/C	Mandatory report not provided. EU imported 615 Mt of BET from LKA in 2014.	Sri Lanka initiate report submission on BET in 2015. NC status for 2014 agreed.
11.4.		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 31.03.16	Agreed
<b>12. Port inspection</b>									
12.1.	Res. 05/03	Port inspection programme	01.07	C	C	C	C	Received 24.03.15	Agreed
12.2.		List of designated ports	Since 31.12.10	C	P/C	C	C	Has designated 5 ports.	Agreed
12.3.		Designated competent Authority		C	C	C	C		Agreed
12.4.		Prior notification periods		C	C	C	C		Agreed
12.5.		Inspection report	3 days after inspection	C	C	L	C	Source - IOTC-2016-CoC13-CQ27: Call in port: 41 ; Foreign vessel inspected: 23 ; LAN/TRX monitored: 8. 23 inspection reports submitted to Secretariat in 2015, no monitoring forms submitted.	Agreed
12.6.	Res. 10/11	At least 5% inspection of LAN or TRX	Since 01/03/2011	C	C	L	P/C		Agreed
12.7.		Denial of entry in port		C	C	C	C		Source - IOTC-2016-CoC13-CQ27: no vessel denied entry into port.
<b>13. Market</b>									
13.1.	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports <sup>2</sup>	16.03.2016	L	P/C	C	P/C	Report received 15.03.16; not at IOTC standard.	Agreed

---

**Feedback to Sri Lanka on the level of implementation of IOTC Conservation and Management Measures identified by the CoC12 in 2015.**

**Feedback:** With regards to the level of compliance by Sri Lanka to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Sri Lanka by the Chair of the Commission in a letter dated 1<sup>st</sup> May, 2015.

• Has not provided information on the FADs management plan, as required by Resolution 13/08
• Has not reported Nominal catch for the coastal fisheries at IOTC Standard, as required by Resolution 10/02.
• Has not reported Nominal catch for the surface fisheries (Gillnet) at IOTC Standard, as required by Resolution 10/02.
• Has not reported Catch and Effort for the coastal fisheries at IOTC Standard, as required by Resolution 10/02.
• Has not reported Catch and Effort for the surface fisheries (Gillnet) at IOTC Standard, as required by Resolution 10/02.
• Has not reported Catch and Effort for the longline fisheries at IOTC Standard, as required by Resolution 10/02.
• Has not reported Size frequency for the coastal fisheries at IOTC Standard, as required by Resolution 10/02.
• Has not reported Size frequency for the surface fisheries (Gillnet) at IOTC Standard, as required by Resolution 10/02.
• Has not reported Size frequency for the longline fisheries at IOTC Standard, as required by Resolution 10/02.
• Has not reported Nominal catch on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not fully implemented the ban on oceanic whitetip sharks as required by Resolution 13/06.
• Has not implemented the mandatory requirement for longliners to carry line cutters and de-hookers on board, as required by Resolution 12/04.
• Has not implemented the mandatory requirement for Purse seiners to Carry dip nets on board, as required by Resolution 12/04.
• Has not implemented the observer scheme, no deployment, as required by Resolution 11/04.
• Has not implemented the observer scheme, no deployment, no observer coverage at sea for vessel > 24m, as required by Resolution 11/04.
• Has not implemented the observer scheme, no deployment, no observer coverage at sea for vessel < 24m, as required by Resolution 11/04.
• Has not provided observer report, as required by Resolution 11/04.
• Has not provided the mandatory annual report on BET, as required by Resolution 01/06.
• Has not fully implemented the requirement on Report on import, landing and transshipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.
• Has nine vessels and nationals identified in IUU fishing activities (Resolutions 11/03 and 07/01).
• Has not fully implemented the requirement on the List of designated ports, as required by Resolution 10/11.
• Has not fully implemented the mandatory requirement on Sea turtles report, as required by Resolution 12/04.
• Has not fully implemented the observer scheme for artisanal landing, as required by Resolution 11/04.

**Response:** The response to the letter of the Chair of the Commission was provided by Sri Lanka on 15 February 2016.

**Current issues on the level of implementation by Sri Lanka of IOTC Conservation and Management Measures identified for discussion in the CoC13 in 2016.**

Having reviewed the 2016 Compliance Report for Sri Lanka, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<b>Compliance issues</b>	<b>Current status (2015)</b>	<b>Previous Status (2014)</b>
<b>Repeated compliance issues</b>		
• Has not reported Catch and Effort for the surface fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Size frequency for the surface fisheries (Gillnet) at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Size frequency for the longline fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Size frequency on sharks at IOTC Standard, as required by Resolution 05/05.	P/C	N/C
• Has not fully implemented the observer scheme, as required by Resolution 11/04.	P/C	N/C
• Has not fully implemented the observer scheme for vessel > 24m, as required by Resolution 11/04.	P/C	N/C
• Has not fully implemented the observer scheme for vessel < 24m, as required by Resolution 11/04.	P/C	N/C
• Has not provided observer report for LL and GN vessels, as required by Resolution 11/04.	P/C	N/C
• Has not provided the mandatory annual report on BET, as required by Resolution 01/06.	N/C	N/C
• Has not fully implemented the requirement on Report on import, landing and transshipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.	P/C	P/C
<b>Non-repeated compliance issues</b>		
• Has not reported Nominal catch for the longline fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	C
• Has not reported Catch and Effort for the longline fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	C
• Has not reported data on interactions with Cetaceans at IOTC Standard, as required by Resolution 13/04.	P/C	
• Has not reported data on interactions with Whale Sharks at IOTC Standard, as required by Resolution 13/05.	P/C	
• Has not implemented the requirement of at least 5% inspection of Lan or TRX, as required by Resolution 10/11.	P/C	C