

PURPOSE

To provide the Standing Committee on Administration and Finance (SCAF) with an opportunity to consider the progress made on implementing each of the SCAF-related recommendations arising from the Report of the 2nd IOTC Performance Review Panel (IOTC-2016-PR1OTC02-R).

BACKGROUND

1. The second performance review made 24 recommendations and proposed 63 actions. Responsibility for the implementation of the actions was allocated to several IOTC bodies: the Commission, the Scientific Committee, the Compliance Committee, and the Standing Committee on Administration and Finance. The SCAF is responsible for 4 actions.
2. In 2018, The SCAF discussed each action and updated the status, timelines and priorities for each (see Appendix 1).

DISCUSSION

3. The current status of the four activities is briefly described below. An update of progress will be included in the performance review update presented to the Commission in 2019.

Re. amending or replacing the IOTC Financial Regulations

4. A small working group has proposed amendments to the current financial regulations in IOTC-2019-SCAF16-09.

Re. considering a system of cost-recovery

5. Not yet considered.

Re. developing guidelines for the acceptance of extra-budgetary funds

6. See the proposed amendments to the current financial regulations in IOTC-2019-SCAF16-09.

Re. an external financial audit of the organisation

7. The FAO Office of the Inspector General (OIG) carried out an audit of the Commission between February and March 2019.

RECOMMENDATION/S

That the Standing Committee on Administration and Finance:

- 1) **NOTE** the excerpt of SCAF-related recommendations from the performance review, as given in Appendix 1.
- 2) **REVIEW** and further **UPDATE** the status table, including proposed timelines and priorities for each recommendation relevant to the work of the SCAF, for the Commission's consideration.

APPENDICES

Appendix 1: SCAF update on progress regarding Resolution 16/03 – On the Second performance review follow-up

APPENDIX 1

SCAF UPDATE ON PROGRESS REGARDING RESOLUTION 16/03 – ON THE PERFORMANCE REVIEW FOLLOW-UP (AS OF MAY 2018)

(NOTE: NUMBERING AND RECOMMENDATIONS AS PER APPENDIX I OF RESOLUTION 16/03)

REFERENCE #	RECOMMENDATION	RESPONSIBILITY	UPDATE/STATUS	TIMELINE	PRIORITY
PRIOTC02.23 (para. 228)	<p>Availability of resources for IOTC activities & Efficiency and cost-effectiveness</p> <p>b) The PRIOTC02 RECOMMENDED that: consistent with best practice governance procedures, that the Commission:</p> <p>(i). Amend or replace the IOTC Financial Regulations (1999) as a matter of urgency in order to increase Contracting Parties' as well as the Secretariat's control of all the budget elements, including staff costs of the budget, consistent with best practice governance procedures.</p>	<i>Commission & Standing Committee on Administration and Finance</i>	Working Group being formed	2019	High
	(ii). A system of cost-recovery should be considered as a possible funding mechanism for new activities and/or ongoing activities.				
	(iii). An annual external financial audit of the organisation be implemented as soon as possible, and include a focus on whether IOTC is efficiently and effectively managing its human and financial resources, including those of the IOTC Secretariat.		Pending by FAO	2019	High
	(iv). Develop guidelines for the acceptance of extra-budgetary funds to undertake elements of the Commission's Program of Work, or those of its subsidiary bodies.		Working Group being formed	2019	High